

1 **PTEN expression and its association with glucose control and calorie**
2 **supplementation in critically ill patients**

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35**Running head:** PTEN, glucose control, and calories in ICU

36**Abstract**

37**Background and Aim:** Phosphatase and tensin homologue (PTEN) reduces insulin sensitivity.
38Since critically ill patients present insulin resistance, we aimed at assessing the role of PTEN
39expression on glucose homeostasis and clinical outcome in patients admitted to an intensive
40care unit (ICU) and receiving artificial nutrition.

41**Methods:** Observational, single-center study conducted in one ICU in Rome, Italy on adult
42patients hospitalized for trauma. Plasma glucose levels and its variability were recorded in
43patients receiving artificial nutrition. PTEN expression was measured by western blotting
44analysis and the associations between PTEN, plasma glucose levels and its variability, and
45calories administered were investigated. Parametric and non-parametric tests were used, as
46appropriate.

47**Results:** Twenty consecutive patients (13 men and 7 women, mean age of 37.3 ± 12.7 years)
48were studied. No correlation between plasma glucose and PTEN was documented ($r = -0.15$,
49 $P = 0.55$), neither between glycemic variability and PTEN expression ($r = -0.00$, $P = 0.99$).
50However, total kcal/day administered and PTEN expression significantly correlated ($r = 0.56$,
51 $P = 0.01$). Also, patients with PTEN levels below the median received less kcal/day than those
52with PTEN above the median ($P = 0.048$). This association was more pronounced when
53adjusted for body weight ($P = 0.03$) and for the average of insulin daily administered ($P = 0.02$).

54**Conclusions:** PTEN expression might significantly contribute to glucose homeostasis and
55disposal in critically ill patients receiving artificial nutrition. Larger samples are necessary to
56confirm our observation.

57

58**Clinical Trial Registry number:** NCT01796847 (www.clinicaltrials.gov) submitted on
59February 11, 2013.

60

61**Key words:** PTEN, ICU, glycemic control, trauma patients, artificial nutrition, calories

62Introduction

63 Glycemia management is a key factor in the care of critically ill patients [1]. However,
64tight glucose control should be avoided in patients in those intensive care units (ICUs), where
65the staff is not sufficiently trained [2]. In contrast, maintaining a blood glucose target ≤ 180
66mg/dL is associated with improved clinical outcome [3]. More importantly, low glycemic
67variability appears protective and associated to reduced ICU specific and in-hospital death [4].

68 Whether tight or permissive glycemic control is the optimal approach to ICU patients
69has been since long time matter of debate. Similarly, contrasting evidence support the use of
70either underfeeding or early parenteral supplementation. Focusing on interventions aimed at
71improve glucose homeostasis, glutamine-induced improved glucose disposal [5, 6] is
72associated with increased expression of heat shock protein 70 and reduced risk of infections
73[7-9]. Also, calorie restriction, including a significant reduction of glucose intake, lengthens
74the lifespan of many animal species, and likely of humans [10]. However, recent experimental
75data show that the protective effects of calorie restriction are not observed in presence of a
76constitutive activation of the intracellular signal chain induced by insulin [11]. A crucial role
77for the constitutive activation of insulin signaling is played by mutations of intracellular
78enzyme phosphatidylinositol-3 kinase (PI3K), whose expression and activity affect different
79physiological responses [12]. The hyperactivation of signal transduction pathways can also be
80obtained by the inactivation or defective expression of the phosphatase and tensin homologue
81(PTEN), a physiological inhibitor of PI3K [12]. Recent data demonstrate that PTEN plays a key
82role in peripheral insulin resistance [13]. Also, during sepsis PTEN expression tends to
83increase [14].

84 We previously suggested that stress-induced differential expression of PTEN [15] may
85contribute to altered insulin intracellular signaling and glucose homeostasis, which in turn
86impact on clinical outcome. Confirming the potential of insulin signaling in modulating

87biochemical responses, calorie restriction modulates oxidative damage only when insulin
88signaling is preserved [16]. These potentially positive effects might disappear if a
89constitutively activated intracellular signal chain is present. In this light, reduced expression of
90PTEN may condition calorie supplementation in ICU patients.

91 Therefore, the aim of this study was to evaluate the role of PTEN expression on the
92control of plasma glucose levels, calorie intake and clinical outcome in ICU patients.

93

94**Materials and Methods**

95*Patients and clinical parameters*

96This was an observational, single-center study performed on patients in ICU. The study was
97registered on www.clinicaltrials.gov (NCT01796847). All procedures were in accordance with
98the ethical standards of the Helsinki Declaration issued in 1975, and later amendments. After
99approval of the local Ethics Committee and after obtaining written informed consent from
100patients or, in case of impossibility, from the closest family member, consecutive adult trauma
101patients hospitalized in the ICU of Policlinico Umberto I, Sapienza University of Rome, Italy,
102were considered. Exclusion criteria were the presence of diabetes mellitus or impaired fasting
103glucose, cancer, chronic kidney disease. Patients receiving any therapy interfering with
104glucose metabolism (i.e., corticosteroids) were also excluded.

105Patient demographic characteristics (age, gender, weight, height, body mass index – BMI) were
106recorded. Acute physiology and chronic health evaluation (APACHE) score was calculated at
107the admission to ICU.

108Length of ICU hospitalization, complications (i.e. infections, cardiovascular events) and
109outcome(s) of ICU hospitalization were recorded. The number of days of mechanical
110ventilation, antibiotic therapy and its duration were collected.

111

112 *Artificial nutrition and glycemic control*

113 Nutritional care is provided based on the European Society for Clinical Nutrition and
114 Metabolism (ESPEN) Guidelines for the use of artificial nutrition in critically ill patients [17,
115 18]. In particular, patients not meeting at least 70-75% of their nutritional needs received
116 nutritional support by means of enteral nutrition [17, 18]. The calorie goal was set at 25
117 Kcal/Kg body weight (BW)/day and the nitrogen goal at 1.2-1.5 g amino acid/kg BW/day. In
118 case of intolerance to enteral nutrition (i.e. diarrhea, vomiting, etc.), the calorie and protein
119 gap was supplied by parenteral nutrition.

120 The expected glycemic range in all patients was 110-180 mg/dL (6.1-10 mmol/l). The
121 adjustment of the insulin dose was performed according to whole-blood glucose levels,
122 measured at 1- to 4-hour intervals in arterial blood or, when an arterial catheter was not
123 available, in capillary blood using a point-of-care glucometer (GEM Premier 4000,
124 Instrumentation Laboratory). A continuous infusion of insulin (50 IU insulin Humulin R, Lilly
125 in 50 ml of 0.9% sodium chloride solution) through a time pump (Perfusor Space, Braun) was
126 started when the blood glucose level exceeded the value of 150 mg/dL (10 mmol/l) to
127 maintain a blood glucose level between the expected range. When the glycemic levels were
128 lower than 110 mg/dL (6.1 mmol/l), the insulin infusion was reduced or stopped. The insulin
129 infusion adjustments were performed by the nurse in charge of the patient.

130

131 *Blood sample collection for PTEN expression analysis*

132 Blood samples were obtained from patients at the moment of admission in the ICU and
133 collected in ethylenediaminetetraacetic acid tubes. Each plasma sample was washed with
134 phosphate buffered saline (PBS) to reach a final volume of 40 mL and added with 10 ml of
135 Ficoll to separate the white blood cells. Samples were centrifuged with swinging-bucket rotor
136 without brake (45 min at 1800 rpm at room temperature), lymphocytes were recovered

137(arranged in a ring) and brought to a final volume of 30 ml adding with PBS. After additional
138centrifugation with brake (15 min at 1800 rpm at room temperature), a new washing was
139performed with 30 mL of PBS, and the pellet was stored at -20 ° C until further analysis. The
140expression of PTEN phosphatase was assessed in all patients enrolled using Western blot
141analysis, performed on a sodium dodecyl sulfate -polyacrylamide 10% gel using rabbit PTEN
142antibody as a primary antibody (Cell signaling, Mi, Italy; 1:1000) and mouse anti-rabbit
143unconjugated antibody (Mab) as secondary antibody (Millipore, Chermicon; 1:10000). All
144membranes were also probed with antibodies for β -actin as a loading control.

145

146 *Statistical analyses*

147Continuous variables were expressed as mean \pm standard deviation. Association between
148continuous variables was assessed by means of Spearman's correlation and related test.
149Categorical variables were described using proportions and were analyzed with the χ^2 test.
150For the analysis of time-to-event clinical outcomes we used Cox regression, logistic regression
151was used for binary outcomes, linear regression for Gaussian (possibly after transformation)
152continuous outcomes and Poisson regression for count outcomes. Specifically, we used Cox
153regression to evaluate the association between independent predictors (including PTEN) and
154(i) length of ICU stay, (ii) length of mechanical ventilation and (iii) mortality; logistic
155regression for indicators of specific complications; Poisson regression for complication
156counts; and linear regression for blood glucose levels and calories.

157A P value < 0.05 was considered statistically significant. All statistical analyses were
158performed in R v. 3.0.2.

159

160 **Results**

161 *Patients' demographic and clinical characteristics*

162A total of 21 patients were enrolled in the study. One male patient was excluded because of
163violation of the protocol (blood sample for PTEN analysis was not collected). At the end, 13
164men and 7 women, with a mean age of 37.3 ± 12.7 years were studied. The characteristics of
165our sample are reported in Table 1.

166The admission diagnosis was polytrauma in 14 patients, cranial trauma in 5 patients, and
167rachis trauma in 1 patient. Pre-existing comorbidities were not present in the entire cohort.

168The mean PTEN value was 0.86 ± 0.62 and the median was 0.72.

169

170*Blood glucose levels, insulin dose and PTEN expression*

171The mean value of the daily blood glucose levels (measured 6 times per day) was calculated in
172each patient. At the end of the ICU stay, the mean glucose levels during the entire
173hospitalization were also calculated.

174No correlation was found between the average plasma glucose levels during the entire ICU
175stay and PTEN values ($r = -0.15$, $P = 0.55$). Similarly, no correlation between glycemic variability
176(as standard deviation of the mean plasma glucose measurements) and PTEN expression was
177documented ($r = -0.00$, $P = 0.99$). Similarly, no correlation was found between the mean of
178plasma glucose levels, glycemic variability and PTEN expression according to the dose of
179insulin administered.

180 We additionally calculated the units of the insulin administered every day and no correlation
181was documented between these values and PTEN levels ($r = -0.21$, $P = 0.37$).

182

183*Artificial nutrition: association between calorie, amino acid administration, and PTEN* 184*expression*

185All patients received artificial nutrition. The average of the kcal/day administered in all
186patients, as well as the kcal/kg BW/day, and gN/day are shown in Table 1. One patient

187received only enteral nutrition. Fifty-nine % of the mean calories daily administered were
188supplemented by parenteral nutrition because of intestinal intolerance.

189We found a significant correlation between the average of the total kcal daily administered
190and PTEN expression ($r= 0.56$, $P= 0.01$) (Figure 1).

191We next examined this relation based on PTEN median value (0.72) and we found that, in
192order to achieve the target glucose levels (110-180 mg/dL), patients with PTEN levels below
193the median were able to receive less kcal/day with respect to patients with PTEN levels above
194the median (1522.2 ± 135.2 vs 1683.2 ± 199.3) ($P=0.048$) (Figure 2, upper panel). In addition,
195when considering the kcal/kg BW/day, this association was more pronounced (19.99 ± 3.41 vs
196 23.39 ± 3.18) ($P= 0.03$) (Figure 2, lower panel). Finally, after adjusting for the mean of insulin
197(I.U.) daily administered, the association between the average of the total kcal daily
198administered and PTEN was confirmed ($P= 0.02$).

199

200*Clinical outcomes and complications*

201The mean length of ICU stay was 19.6 ± 9.7 days and all patients were mechanically ventilated
202for 15.2 ± 7.2 days. Twelve patients developed infectious complications and 2 patients
203developed acute renal failure (Table 1). We observed an ICU mortality rate of 30% ($n=6$
204patients). No association between PTEN expression and length of stay, days of mechanical
205ventilation, numbers and type of complications, including mortality, was documented.
206However, we found a significant association between glycemic variability and mortality ($HR=$
207 1.10 , $P= 0.007$), independently from PTEN values.

208

209**Discussion**

210In this study, involving non-diabetic adults admitted in the ICU for trauma, we did not find
211significant relationships between plasma glucose levels and PTEN levels, nor between

212glycemic variability and PTEN levels. Similarly, no association was documented between
213insulin units administered and PTEN. However, we found that patients with lower expression
214of PTEN were able to receive, by artificial nutrition, reduced amount of calories per day in
215order to achieve blood glucose control [2, 17, 18]. The reduced amount of calories received by
216patients with lower PTEN levels was also confirmed when adjusted for the insulin dose
217administered.

218The phosphatase PTEN antagonizes the phosphatidylinositol 3-kinase (PI3K) pathway, has a
219role in cell-cycle and metabolic pathways, and it has been indicated as a major player in
220insulin signaling and its sensibility and apoptosis [19]. Recent data showed that higher PTEN
221expression may represent a potential therapeutic strategy able to control cancer development
222and enhance calorie expenditure to reduce adipose tissue accumulation [20]. In particular,
223*Garcia-Cao et al* described that PTEN elevation regulates metabolic switch by controlling PI3K
224-dependent and -independent pathways and negatively impacting on glutaminolysis and
225Warburg effect [20]. In an another experimental condition, PTEN loss during pancreas
226development led to increased islet number and size, as well as total beta-cell mass, indicating
227that a potential modulation of PTEN-controlled signaling pathway might represent a
228therapeutic option for beta-cell protection and regeneration treatment [21]. In this light, PTEN
229was hypothesized as a potential molecule involved in the pathophysiology of insulin resistance
230and type 2 diabetes in humans. However, variability in PTEN expression was not found to be a
231cause of type 2 diabetes in a Caucasian population [22]. More recently, *Grinder-Hansen et al.*
232[13] documented that PTEN variation was related with insulin resistance and with the risk of
233developing type 2 diabetes. However, the association with decreased insulin sensitivity was
234not justified by reduced insulin signaling in muscle.

235On the other hand, a different mechanism may be at least in part present in non-diabetic
236patients developing insulin-resistance in ICU after severe injury. Activating mutations may

237exist in humans, and taking into account that PTEN is an essential component of the insulin
238signaling cascade, it could be possible that tight glucose control and calorie target may be
239achieved and may improve the outcome(s) only when pursued in those critically ill patients
240whose insulin signaling is constitutively activated. In addition, the controversial data available
241on tight glucose control on clinical outcomes have been described in study participants with
242likely different genetic background [3,15,23-25]. Factors that might contribute to these results
243may be determined also by different insulin treatment protocols, glucose goals and the use of
244artificial nutrition. In our cohort, patients with lower PTEN expression were able to receive
245less calorie supplementation in order to achieve the desired blood glucose concentration and,
246in particular, to reduce the development of hyperglycemia. This aspect is also clinically
247relevant considering that the reduction of calorie supplementation may determine or worsen
248alterations of nutritional status.

249Moreover, a strong association has been documented between increased glycemic variability
250as well as hypoglycemia and poor outcomes in the ICU patients [26-29]. More importantly,
251there is evidence on the relevance of closely and effectively controlling blood glucose
252concentration during ICU stay to reduce its variability [30]. In this view, glucose and calorie
253infusion led to higher insulin requirements to avoid hyperglycemia, with increased risk for
254glycemic variability [30]. Based on these evidences, international guidelines indicate to reduce
255blood glucose variability in patients on parenteral nutrition, with a target blood glucose of 90-
256150 mg/dl [31].

257In our results, we reported a significant association between higher glycemic variability and
258mortality, although our sample size was limited. However, we did not find a relation between
259PTEN expression and glycemic variability.

260The role of PTEN on modulating insulin sensitivity in humans is complex, and controversial
261data exist with respect to experimental models. In particular, *Pal et al.* showed that PTEN

262haploinsufficiency is a genetic cause of profound constitutive insulin sensitization [32].
263However, these results appear divergent in the same cohort of patients studied, considering
264that PTEN insufficiency was also associated with an obese phenotype [32]. These data
265additionally highlight concerns that therapeutic approaches aimed at enhancing PTEN levels
266will determine lower insulin sensitivity possibly increasing the risk for developing type 2
267diabetes [33].

268We acknowledge the limitations of our study. In particular, the reduced sample size of the
269patients enrolled and the lack of association between glycemic variability and PTEN levels,
270independently from the calorie administration. Moreover, we did not investigate specifically
271PTEN function at molecular levels and its modifications overtime but only PTEN levels at
272single-point measurement. Additionally, we did not measure patients' resting energy
273expenditure by indirect calorimetry which is the most accurate tool to assess energy needs.

274

275**Conclusions**

276Based on our results, the identification of different PTEN expression in larger cohorts of
277patients, rather than intensive calorie and glucose control per se, might be a possible novel
278key factor involved in the control and management of calorie supplementation in critically ill
279patients.

280

281

282**Abbreviations:** ICU, intensive care unit; PI3K, phosphatidylinositol-3 kinase; PTEN,
283phosphatase and tensin homologue; BMI, body mass index; APACHE, acute physiology and
284chronic health evaluation; ESPEN, European Society for Clinical Nutrition and Metabolism;
285BW, body weight; PBS, phosphate buffered saline; Mab, mouse anti-body.

286

287**Ethics approval and consent to participate**

288All procedures were in accordance with the ethical standards of the Helsinki Declaration
289issued in 1975, and later amendments. We have obtained the approval of the local Ethics
290Committee (Azienda Policlinico Umberto I, Sapienza University of Rome, Italy) and obtained
291the written informed consent.

292

293**Competing interests**

294None

295

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297University of Rome, Italy.

298

299**Authors' Contributions**

300A.M. designed research, conducted research, analyzed data, wrote the paper;

301F.A. conducted research and collected data;

302P.M. provided essential reagents necessary for research;

303D.D. collected data;

304A.F. performed statistical analysis;

305M.I.A. collected data and analyzed data;

306A.L. designed research, reviewed the paper, and had primary responsibility for final content.

307

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393 **Table 1** Patients' characteristics and outcomes

394 All patients <i>N</i> = 20	Mean ± SD*
396 Sex (male:female)	13:7
397 Age, years	37.3 ± 12.7
398 Body weight, kg	75.9 ± 16
399 BMI, weight (kg)/height ² (m)	24.4 ± 3.9
400 Blood glucose level (baseline), mg/dl	160 ± 47.8
401 Insulin dose, units/day	16.8 (11.1 to 34.9)*
402 Nonprotein calories administered, Kcal/day	1602.7 ± 185.18
403 Nonprotein calories administered, Kcal/kg BW/day	21.69 ± 3.65
404 Gram of nitrogen per day, g/day	11.24 ± 1.74
405 Days in ICU	19.6 ± 9.7
406 Days of mechanical ventilation	15.2 ± 7.2
407 APACHE score	12.2 ± 3.6

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411 *Median (interquartile range) is shown for non-normally distributed variable (insulin daily
 412 administered). Abbreviations include: BMI, body mass index; BW, body weight; ICU, intensive
 413 care unit; APACHE, acute physiology and chronic health evaluation.

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422 **Figure Legends**

423

424 **Figure 1** Upper panel: linear regression between kilocalories per day supplemented by
425 artificial nutrition and PTEN. The average of the total kcal daily administered significantly
426 correlated with PTEN (expressed as percentage of control) ($r= 0.56$, $P= 0.01$). Lower panel:
427 representative western blots quantifying the band densities of four PTEN blots,
428 normalized against β -ACTIN, calculated as percentage of control. Abbreviations: Kcal/day,
429 kilocalories per day; PTEN, phosphatase and tensin homologue.

430

431 **Figure 2** Left panel: total kcal/day administered according to PTEN median values. Total
432 kcal/day were significantly higher in patients with PTEN levels above the median with
433 respect to patients with PTEN levels below the median ($P= 0.048$). Right panel: total
434 kcal/Body Weight (BW)/day. Kcal/BW/day were significantly higher in patients with PTEN
435 levels above the median with respect to patients with PTEN levels below the median ($P=$
436 0.03). Data are expressed as means \pm SD. Abbreviations include: Kcal/day, kilocalories per
437 day; PTEN, phosphatase and tensin homologue; BW, body weight.